

08/03/01
JC960 U.S. PTO

08-06-01
UTILITY PATENT APPLICATION TRANSFERS
(Only for new nonprovisional applications under 37 CFR 1.53(b))

A

Attorney Docket No.: 3123-373

Inventors: G. Herbert Lin of 1233 Mt. Quail Circle, San Jose, California 95120
Davide Guarisco of 559 Matadero Avenue, #7, Palo Alto, California 94306
Xiaokun Chew of 7227 Emami Drive, San Jose, California 95120

J1017 U.S. PTO
09/922459
08/03/01

Express Mail Label No.: EL684862984US

Title: METHOD AND APPARATUS FOR PROVIDING AN EARLY WARNING OF THERMAL
DECAY IN MAGNETIC STORAGE DEVICES

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

This application claims priority from U.S. Provisional Patent Application No. 60/223,444 filed August 4, 2000. The entire disclosure of the provisional application is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference. Enclosed for filing with the above-identified utility patent application, please find the following:

1. ☒ Specification (Total Pages of Text, including Abstract and Claims: 41)
2. ☒ Drawing(s) (35 USC 113) (Total Sheets: 6) ☐ FORMAL ☒ INFORMAL
3. ☒ Oath or Declaration (Total Pages: 3) ☒ Signed ☐ Unsigned
4. ☒ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i).
5. ☒ Assignment Papers (cover sheet & document(s))
6. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
7. ☒ Power of Attorney
8. ☐ English Translation Document (if applicable)
9. ☒ Information Disclosure Statement (IDS/PTO-1449)
10. ☒ Copies of IDS Citations (Number of References: 2)
11. ☐ Preliminary Amendment
12. ☒ Return Postcard (MPEP 503) (should be specifically itemized)
13. ☐ Small Entity Statement(s)
14. ☐ Certified copy of Priority Document(s)
15. ☒ Checks in the amount of \$1,544.00 and \$40.00

FEE CALCULATION:

	(COL. 1) NO. FILED			(COL. 2*) NO. EXTRA	SMALL ENTITY			LARGE ENTITY	
					RATE	FEE		RATE	FEE
BASIC FEE:						\$355.00	OR		\$710.00
TOTAL CLAIMS:	53	-	20	33	X \$9 =		OR	X \$18 =	\$594.00
INDEP. CLAIMS:	6	-	3	3	X \$40 =		OR	X \$80 =	\$240.00
MULTIPLE DEPENDENT CLAIMS					+ \$135 =		OR	+\$270 =	\$0.00
*IF THE DIFFERENCE IN COL. 2 IS LESS THAN ZERO, ENTER "0" IN COL. 2.					TOTAL:				\$1,544.00

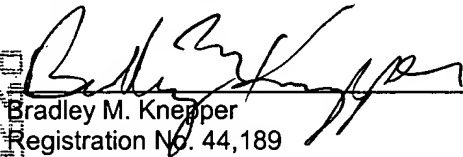
OTHER INFORMATION:

1. ☒ The Commissioner is hereby authorized to debit any underpayments or credit any overpayment to Deposit Account No. 19-1970.
2. ☒ The Commissioner is hereby authorized to charge all required fees for extensions of time under §1.17 to Deposit Account No. 19-1970.
3. ☐ Foreign Priority benefits are claimed under 35 USC §119 of Patent Application Serial No. filed.
4. ☒ Correspondence Address:

Bradley M. Knepper
SHERIDAN ROSS P.C.
1560 Broadway, Suite 1200
Denver, Colorado 80202-5141
Telephone: (303) 863-9700
Facsimile: (303) 863-0223

Respectfully Submitted,

SHERIDAN ROSS P.C.


Bradley M. Knepper
Registration No. 44,189

Date: August 3, 2001

"EXPRESS MAIL" MAILING LABEL NUMBER: EL684862984US
DATE OF DEPOSIT: August 3, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED
WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST
OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE
INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT
COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

TYPED OR PRINTED NAME: AIMEE M. THUERK

SIGNATURE: Aimee M. Thuerk

0
1
2
3
4
5
6
7
8
9
F
E
E
"S
H
E
R
I
D
A
N
R
O
S
S
P
C
A
" 0
0
0
3
0
1


Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor		LIN et al
	Title	METHOD AND APPARATUS FOR PROVIDING AN EARLY WARNING OF THERMAL DECAY IN MAGNETIC STORAGE DEVICES	
	Atty Docket Number		3123-373

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

August 3, 2001
Date


Signature

Bradley M. Knepper, Registration No. 44,189

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

09-03-16